

Docket No. : 55351/DBP/S318

CHRISTIE, PARKER & HALE, LLP

Applicant or Patentee : Derek Gates, et al.

Post Office Box 7068

Application No. :

Pasadena, CA 91109-7068

Filed : June 15, 2005

Entitled : POLYMERIZATION OF PHOSPHAALKENES

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37) CFR 1.9(f) & 1.27(d) – NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION : THE UNIVERSITY OF BRITISH COLUMBIA

ADDRESS OF NONPROFIT ORGANIZATION : University - Industry Liaison Office, #103, 6190  
Agronomy Road, Vancouver, British Columbia V6T 1Z3  
Canada

**TYPE OF NONPROFIT ORGANIZATION**

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))

☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE: ); (CITATION OF STATUTE: )

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA.  
(NAME OF STATE: ); (CITATION OF STATUTE: )

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention entitled POLYMERIZATION OF PHOSPHAALKENES by inventor(s) **Derek Gates, Chi-Wing Tsang, and Mandy Yam** described in:

☐ the specification filed herewith

☒ Application No. 10/539,397 filed June 15, 2005

☐ Patent No.      issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME :

ADDRESS :

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(d) – NONPROFIT ORGANIZATION)**

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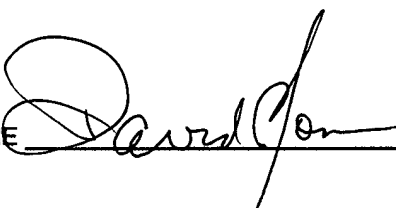
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING : X David P. Jones  
Associate Director  
University-Industry Liaison

TITLE IN ORGANIZATION OF PERSON SIGNING : X

ADDRESS OF PERSON SIGNING : x #103-6190 Agronomy Road  
Vancouver, B.C. Canada V6T 1Z3

SIGNATURE 

DATE Sept 2/05

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As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled POLYMERIZATION OF PHOSPHAALKENES, the specification of which is attached hereto unless the following is checked:

X was filed on December 16, 2003 as United States Application Number or PCT International Application Number PCT/CA2003/001982 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of the foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, any foreign application for patent or inventor's certificate, or any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

<u>Application Number</u>	<u>Country</u>	<u>Filing Date (day/month/year)</u>	<u>Priority Claimed</u>
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I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

<u>Application Number</u>	<u>Filing Date</u>
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60/433,507	December 16, 2002
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I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

<u>Application Number</u>	<u>Filing Date</u>	<u>Patented/Pending/Abandoned</u>
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**DECLARATION FOR PATENT APPLICATION**

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**POWER OF ATTORNEY:** I hereby appoint the practitioners associated with the law firm of Christie, Parker & Hale, LLP,

**CUSTOMER NUMBER 23363**

to prosecute this application and any U.S. continuation or divisional application based on it, and to prosecute any international application under the Patent Cooperation Treaty based on it, and to transact all business in the U.S. Patent and Trademark Office connected with either of them in accordance with instructions from the assignee of the entire interest in this application; or from the first or sole inventor named below in the event the application is not assigned; or from SMART & BIGGAR in the event the power granted herein is for an application filed on behalf of a foreign attorney or agent.

The authority under this Power of Attorney of each person associated with the law firm of Christie, Parker & Hale, LLP, Customer Number 23363, shall automatically terminate and be revoked upon such person ceasing to be a member or associate of or of counsel to that law firm.

**DIRECT ALL CORRESPONDENCE TO: CUSTOMER NUMBER 23363**

**DIRECT TELEPHONE CALLS TO: D. Bruce Prout, 626/795-9900**  
**CHRISTIE, PARKER & HALE, LLP**  
**P.O. Box 7068**  
**Pasadena, CA 91109-7068**

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<b>NAME OF SOLE OR FIRST INVENTOR</b>			
<u>Derek Gates</u>			
Inventor's Signature <i>Derek P. Gates</i>			Date <i>Aug 31/05</i>
Residence:	City Vancouver	State British Columbia	Country Canada <i>CA</i>
Mailing Address:		#7, 2015 West 3rd Avenue, Vancouver, <u>British Columbia</u> V6J 1L4 Canada	

**DECLARATION FOR PATENT APPLICATION**

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<b>NAME OF SECOND INVENTOR</b>			
<u>ChiOWing Tsang</u>			
Inventor's Signature <i>ChiOWing Tsang</i>			Date 31/Aug/05
Residence:	City Vancouver	State British Columbia	Country Canada <i>CA</i> Citizenship
Mailing Address:	2081 West 44th Avenue, Vancouver, British Columbia V6M 2G1 Canada		

<b>NAME OF THIRD INVENTOR</b>			
<u>Mandy Yam</u>			
Inventor's Signature <i>Mandy Yam</i>			Date 31/Aug/05
Residence:	City Richmond	State British Columbia	Country Canada <i>CA</i> Citizenship Canadian
Mailing Address:	#13, 10480 No. 3 Road, Richmond, British Columbia V7A 1W9 Canada		

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